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Specification and Drawings, as originally filed, with Application for Patent Serial No: **2,269,961** on April 26, 1999, by **ICRON SYSTEMS INC.**, assignee of Juraj Krajci and Keith Kejser, for "Method and Apparatus for Extending the Range of the Universal Serial Bus Protocol as it Applies to Asynchronous Transfers".

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Method and Apparatus for Extending the Range of the Universal Serial Bus Protocol as it applies to Asynchronous Transfers

Field of the Invention

This invention relates to methods and apparatus for transmitting signals between devices using Universal Serial Bus ports, and, in particular, to an method for allowing communications between devices using such ports over an extended range.

Description of the Related Art

Universal Serial Bus (USB) is a new technology designed to permit a wide range of peripherals to be attached to personal computers by the average user. Since the technology supports all of the common peripheral devices such as keyboards, mice, speakers, modems, joysticks, cameras and many others, it will replace the serial and parallel ports in use today. The new Apple iMac (Trade Mark), for example, supports only USB ports. In addition, almost every personal computer (PC) manufactured since 1997 has been equipped with USB ports.

USB was created by an alliance of seven of the largest companies in the computer and communication markets. Those companies were Intel, Compaq, Microsoft, NorTel, NEC, Digital and IBM. The specifications defining USB (e.g. Intel et al., Universal Serial Bus Specification, Revision 1.0, January 1996; - hereinafter referred to as the "USB Specification") are non-proprietary and are managed by an open industry organization known as the USB Forum. The USB Specification establishes a number of criteria which must be met in order to comply to USB standards.

For example, it is a current requirement of the USB Specification that a single USB domain shall support up to 127 devices operating over a shared medium providing a maximum bandwidth of 12 Mbps.

Further, the USB Specification limits the distance that a device can be separated from its host PC to 5 meters. By using a series of USB Hubs – devices that are intended to support increased populations rather than increased distances – this distance limitation can be increased, in theory, to 30 meters. This multiple hub solution is both expensive and clumsy. For example, to support a single device at a range of 30 meters the consumer must purchase five hubs at a current cost of about $50 US each. In addition, at least two of these hubs must be provided with electrical power. Since the individual cables between hubs are limited to 5 meters each, it is also likely that some of the hubs would have to be positioned in very inconvenient and insecure locations.

There is therefore a need for methods and apparatus to allow USB devices to be positioned at greater distances from the host PC. For example, an uninterrupted distance of at least 100 meters is required for compatibility with the standards governing the cabling of commercial buildings (see, for example, TIA/EIA-568-A, Commercial Building Telecommunications Cabling Standard, Telecommunications Industry Association, October 1995). Meeting this standard must be accomplished without the need for intermediate repeaters since distribution cabling is not normally accessible between its end-points at, for example, the Telecommunications Closet and the Work Area. Furthermore, even if the cable were to be accessible, the cabling standard does not allow active devices to be inserted other than at the end-points.

Providing for an extended range capability would also create new applications for USB devices as well as facilitating existing ones. For example, a simple residential or SOHO (small office, home office) surveillance system could be constructed by connecting consumer quality cameras to a central PC. An overhead mounted monitor could be controlled by a remote keyboard or mouse. A door-phone entrance system could be monitored from any office in a commercial building.

Currently, however, the USB Specifications do not permit the use of extended ranges. For example, it is a further requirement of the USB Specification that the access of each device to the shared communications bus is controlled by a single Host Controller. It is also specified that when the Host

Controller instructs a particular device to place its information onto the shared bus, the requested information must be received by the Host Controller within sixteen (16) bit-times of said Host Controller issuing said instruction. In practise, this ensures that the USB Specification provides for a high efficiency of bandwidth utilization by limiting the period during which no information is being transmitted. However, these requirements also limit the physical range of USB devices since one bit-time is equivalent to the time taken for an electronic signal to traverse approximately 17 meters of copper cable.

Further, although the USB device must respond to a request from the Host Controller within 16 bit-times, 7.5 bit-times is allocated for delay within a USB device and its associated 5 meter cable. This allocation retains only 8.5 bit-times for additional cable delay. The time represented by 8.5 bit-times is equivalent to the delay incurred by electronic signals in traversing approximately 144 meters of cable. However, this cable length is insufficient to satisfy the round-trip cable length of 200 meters required by the premise cabling specification.

Thus, it is not currently possible to provide USB devices which are separated over an extended distance.

However, it is a further feature of the USB Specification that the USB Specification (or protocol) segregates access to the shared bus into discrete units known as frames. Each frame is designed to last for a period of 1 ms.

In our co-pending Canadian patent application No. 2262334, filed February 19, 1999, a method and device are described, that provides for use of a USB system with extended range, when the device is used for isochronous data transfer.

Isochronous data transfer is characterised as being a data transfer wherein data flows essentially continuously, and at a steady rate, in close timing with the ability of the receiving mechanism to receive and use the incoming data. This type of data transfer is distinguished from asynchronous data transfer, which pertains to processes that proceed independently of each other until a dependent process has to "interrupt" the other process, and synchronous, which pertains to processes in which one process has to wait on the completion

of an event in another process before continuing. It should be noted that it is an aspect of isochronous transfers that timely delivery of information is ensured at the expense of potential transient losses in the data stream. In particular, there is no attempt to retransmit any data that may have been lost in previous transmissions. For example, with an isochronous video signal, loss of one frame of information is generally not significant, and there is no interest in retrieving the lost frame. Instead, the host controller is typically more concerned with receiving the current frame. Accordingly, isochronous data transfer is said to be time-relevant data transfer system.

In contrast, asynchronous data transfer is not time-relevant. Instead, a correct response to any request is required.

The current invention therefore uses the fundamental characteristics of asynchronous data, and more generally any non-time-relevant data transmission, and the existence of regular protocol frames in order to provide methods and apparatus to enable data transmission over extended distances.

Accordingly, while USB technology has proven to be useful, it would still be desirable to provide improvements to the technology by providing a method and apparatus for enabling data transmission equipment, and in particular, data transmission equipment utilizing the USB Specification, to be used over an extended range for asynchronous data transfers.

Therefore, it is an object of the present invention to provide methods and apparatus to enable devices, hubs and controllers and other devices that conform to the USB Specification to communicate over distances greater than that currently permitted under said USB Specification.

It is a further object of the present invention that such extended range be achieved without the need for intermediate hubs, repeaters or other methods of electronic signal regeneration.

It is a further object of the present invention that no hardware or software changes need be made to the existing devices, hubs and controllers supported by the system, and in particular, a system operating under the USB Specification when utilizing asynchronous data transfers. The invention,

thereby, may be incorporated into networks composed of both conventional range and extended range devices.

It is a further object of the present invention that the apparatus be very cost effective, consistent with the broadest population of devices targeted by the USB industry.

These and other objects of the invention, which will become apparent herein, are attained by the present invention as described hereinbelow.

Summary of the Invention

Accordingly, the present invention provides a method for transmitting a non-time-relevant data stream, and in particular an asynchronous data stream, between a host controller and a peripheral device over an extended distance; said method comprising:

a) feeding a first original, outgoing digital signal from a host controller to a local expander unit;

b) optionally converting said outgoing digital signals into a converted outgoing signal having a format suitable for transmission over extended distances;

c) transmitting either said outgoing digital signal or said converted outgoing signal, as an outgoing transmission signal, over a signal distribution system;

d) receiving said outgoing transmission signal at a remote expander unit;

e) optionally converting said outgoing transmission signal to said first original outgoing digital signal;

f) delivering said first original outgoing digital signal from said remote expander to at least one peripheral device;

g) receiving, at said remote expander, a reply digital signal from said peripheral device;

h) optionally converting said reply digital signal into a converted reply signal having a format suitable for transmission over extended distances;

i) transmitting said reply digital signal or said converted reply signal as a reply transmission signal over said signal distribution system;

j) receiving said reply transmission signal at said local expander;

k) optionally converting said reply transmission signal to said original reply digital signal;

l) storing said reply digital signal as a stored reply digital signal until the receipt of a subsequent original, outgoing digital signal from said host controller, which subsequent signal is the same as, or similar to, said first original outgoing digital signal; and

m) forwarding said stored reply digital signal to said host controller in response to said subsequent original outgoing digital signal.

For the purposes of the present specification, the term "non-time-relevant data" is meant to relate to data streams such as asynchronous data streams wherein loss of a frame from a previous transmission is not acceptable, and that current, correct transfer of information is required.

In a preferred embodiment, all digital signals conform to the USB Specification (other than for the distance between devices), and, preferably all digital signals represent asynchronous data. Further, in a preferred embodiment, the extended distance exceeds 5 meters, more preferably, exceeds 30 meters, and still more preferably, exceeds 100 meters. In particular, the distance between the local expander and the remote expander exceeds 5 meters, more preferably, exceeds 30 meters, and still more preferably, exceeds 100 meters.

While a number of different signal distribution systems might be used, preferably, the signal distribution system utilizes unshielded twisted pair (UTP) wiring (or cabling).

In a further preferred embodiment, the present invention provides a method for transmission of asynchronous data according to the USB Specification wherein asynchronous data is transmitted from a peripheral device and is received by a host controller, said method comprising:

a) receiving, at a local expander, an original request for asynchronous data from a host controller;

b) forwarding said original request for asynchronous data from said local expander to a remote expander over a signal distribution system;

c) receiving, at a remote expander, said forwarded original request for asynchronous data;

d) delivering said forwarded original request for asynchronous data to at least one peripheral device;

e) receiving, at said remote expander, the requested asynchronous data from said peripheral device;

f) forwarding said requested asynchronous data from said remote expander to said local expander over said signal distribution system;

g) storing, in a packet buffer at said local expander, said requested asynchronous data;

h) receiving, at said local expander, a subsequent request for asynchronous data from said host controller; and

i) forwarding said subsequent request for asynchronous data from said local expander to a remote expander over a signal distribution system;

ii) delivering said forwarded subsequent request for asynchronous data to at least one peripheral device;

iii) receiving, at said remote expander, the requested asynchronous data from said peripheral device;

i) additionally receiving, at said local expander, said subsequent request for asynchronous data from said host controller as in step (h); and

i) retrieving the stored asynchronous data from said packet buffer;

ii) delivering said retrieved asynchronous data to said host controller;

j) receiving, at said local expander, an outgoing acknowledgement signal from said host controller;

k) optionally converting said outgoing acknowledgement signal into a converted acknowledgement signal having a format suitable for transmission over extended distances;

l) transmitting either said outgoing acknowledgement signal or said converted acknowledgement signal, as an acknowledgement transmission signal, over a signal distribution system;

m) receiving, at a remote expander unit, said acknowledgement transmission signal;

n) optionally converting said acknowledgement transmission signal to said outgoing acknowledgement signal; and

o) delivering said outgoing acknowledgement signal from said remote expander to at least one peripheral device.

In a preferred feature, the method also provides the following additional steps after step (b) described hereinabove, namely:

i) Determining whether said local expander already possesses said requested asynchronous data;

ii) Generating a negative acknowledgement packet if no such requested asynchronous data is present; and

iii) Delivering said negative acknowledgement packet to said host controller.

In an even more preferred embodiment, the present invention also provides a method as described hereinabove with respect to the present invention, wherein said method provides a method for transmission of asynchronous data according to the USB Specification wherein asynchronous data is transmitted from a host controller and is received by a peripheral device, said method comprising:

a) receiving, at a local expander, an original notification of asynchronous data from a host controller;

b) forwarding said original notification of asynchronous data from said local expander to a remote expander over a signal distribution system;

c) receiving, at a remote expander, said forwarded original notification of asynchronous data;

d) receiving, at a local expander, an original asynchronous data packet from a host controller;

e) forwarding said original asynchronous data packet from said local expander to a remote expander over a signal distribution system;

f) receiving, at a remote expander, said forwarded original asynchronous data packet;

g) delivering said forwarded original asynchronous data packet to at least one peripheral device;

h) receiving, at said remote expander, an inbound acknowledgement packet from said peripheral device;

i) forwarding said inbound acknowledgement packet from said remote expander to said local expander over said signal distribution system;

j) storing, in a packet buffer at said local expander, said inbound acknowledgement packet;

k) receiving, at said local expander, a subsequent notification of asynchronous data from said host controller;

l) receiving, at said local expander, a subsequent asynchronous data packet from said host controller; and

i) retrieving said stored inbound acknowledgement packet from said packet buffer; and

ii) delivering said retrieved inbound acknowledgement packet to said host controller.

In a further preferment, the method described hereinabove additionally comprises the following steps, namely:

i) Determining whether said local expander already possesses said inbound acknowledgement packet;

ii) Generating a negative acknowledgement packet if no such inbound acknowledgement packet is present; and

iii) Delivering said negative acknowledgement packet to said host controller.

In systems wherein a guard time is imposed after a data packet is transmitted (in order to prevent premature transmission of another packet), a

preferred embodiment of the present invention also provides a method as described hereinabove additionally comprising the following stages:

a) Receiving, at a remote expander, an outbound data packet,

b) Determining, at a remote expander, the transfer type of said outbound data packet,

c) Forwarding said outbound data packet from said remote expander to a USB device,

d) Setting the value of a transmission guard timer to a value that is that is dependent upon said determined transfer type; and

e) Inhibiting further outbound transmissions until said guard timer has expired.

As with the prior art, the method of the present invention can be used in a systems wherein said host controller is a PC, and said peripheral device is a camera, a mouse, a keyboard, a monitor or a speaker or speakers.

In another aspect, the present invention also provides an apparatus for transmission of a digital signal over an extended distance comprising:

a) a local expander comprising means for receiving a request for a non-time-relevant data signal, preferably wherein said non-time-relevant data signal is a digital signal which conforms to the USB Specification, and wherein said non-time-relevant signal represents asynchronous data , from a host controller which host controller is connected to said local expander;

b) means in said local expander for generating an outgoing transmission signal;

c) means in said local expander for sending said outgoing transmission signal to a remote expander, which signals are sent over a signal distribution system;

d) a remote expander comprising means for receiving said outgoing transmission signal;

e) means in said remote expander for generating a digital signal from said outgoing transmission signal;

f) means in said remote expander for forwarding said digital signal to at least one peripheral device, which peripheral device is connected to said remote expander;

g) means in said remote expander for receiving inbound digital signals from said peripheral devices;

h) means in said remote expander for converting said inbound digital signals to an inbound transmission signal;

i) means in said remote expander for sending said inbound transmission signal to said local expander, which signals are sent over said signal distribution system;

j) means in said local expander for receiving said inbound transmission signal;

k) means in said local expander for generating a digital signal from said inbound transmission; and

l) means in said remote expander for forwarding said digital signal to said host controller.

In a preferred embodiment, the present invention also provides an apparatus wherein said local expander additionally comprises:

a) means for storing said inbound signal as a stored inbound signal;

b) means for analysing said digital signal from said host controller to recognize a subsequent request for transmission of said non time-relevant digital signal; and

c) means for sending said stored inbound signal to said host controller in response to said subsequent request.

Description of the Preferred Embodiments

Although a number of signal distribution systems may be used, as described hereinabove, preferably the signals are transmitted over a signal distribution system which utilizes Unshielded Twisted Pair (UTP) copper wire. Using this method of device connection provides a low cost, effective means for data transmission. However, in another embodiment of the system, signals can be transmitted over coaxial cable.

While the methods and apparatus of the present invention have general utility in a variety of applications, it is of primary importance that the data transmission methods and apparatus of the present invention allow for compliance with the USB Specifications. Preferably, the original signal from the host controller is a request for data from a peripheral device. Additionally, preferably the data requested is asynchronous data from peripheral devices such as cameras, keyboards, mice, monitors, speakers, and the like.

In particular, during operations utilizing the methods and apparatus of the present invention in applications involving extended range transmissions, it is preferred that the apparatus be preferably capable of recognizing asynchronous transfers, when they are received. The data contained within the asynchronous transfer is then stored within the system for a period of time. Accordingly, the data that is received during a particular frame may be stored and then transmitted in a following frame. Additionally, a further preferred embodiment of the present invention is that asynchronous transfers originating from a plurality of sources may be stored, and retransmitted.

In the operation of a preferred embodiment of the current invention, a host controller (which preferably is a PC) may issue a request to a device for the transfer of asynchronous data. The request is received by the apparatus of the present invention, and retransmitted to the target device. When the requested asynchronous transfer response is received by the apparatus from the target device, the asynchronous data is stored within the internal memory of the apparatus. During a subsequent frame, the host controller will again issue a request to the target device for the transfer of asynchronous data. The

apparatus will again retransmit this request to the target device. In addition, however, the apparatus recognizes that it currently has asynchronous data from the target device stored in its internal memory. The apparatus sends this data to the host controller within the 16 bit-time margin relevant to the current request within the current frame. In this manner, the apparatus uses data collected in a previous frame to satisfy the response time requirement of a current frame.

By utilizing the method and apparatus of the present invention, it is possible to have transfer of non-time-relevant data, and asynchronous data in particular, over extended distances, and in particular, over distances greater than specified in the USB Specification.

Brief Description of the Drawings

The invention, and various aspects thereof, will be described by reference to the attached drawings wherein:

Figure 1 is a PC equipped with a conventional USB Hub and USB Devices.

Figure 2 is a PC equipped with an Extended Range Hub and USB Devices according to the present invention.

Figure 3 is a schematic drawing of an embodiment of the invention designed to operate over UTP.

Figure 4 is a timing diagram showing asynchronous transfers according to the USB protocol.

Figure 5 is a timing diagram showing asynchronous transfers according to the current invention.

Figure 6 is a schematic drawing of an embodiment of a Local Expander according to the invention.

Figure 7 is a schematic drawing of an embodiment of a Remote Expander according to the invention.

Figure 8 is a sequence diagram showing an asynchronous input transfer according to the invention.

Figure 9 is a sequence diagram showing an alternative asynchronous input transfer according to the invention

Figure 10 is a sequence diagram showing an asynchronous output transfer according to the invention.

Figure 11 is a sequence diagram showing an alternative asynchronous output transfer according to the invention.

Figure 12 is a logic diagram of a LEX controller according to the invention.

Figure 13 is a logic diagram of an enhanced LEX controller according to the invention.

Figure 14 is a logic diagram of a REX controller according to the invention.

Figure 15 is a schematic drawing of a REX Controller according to the invention.

Figure 16 is a sequence diagram showing a link layer packet output according to the invention.

Figure 17 is a sequence diagram showing a link layer packet input according to the invention.

Figure 18 is a logic diagram of a Link Controller according to the invention.

In the drawings, Figure 1 shows a PC (1) equipped with two conventional USB Hubs (2a & 2b), and four standard USB Devices (3a, 3b, 3c & 3d). The length of cable between hubs (2a) and (2b) cannot exceed 5 meters according to the current USB specification.

Figure 2 shows a PC (1) equipped with an apparatus according to the present invention, which is termed as an "Extended Range Hub" (7) and four standard USB Devices (3a, 3b, 3c & 3d). The Extended Range Hub is composed of two separate units, a "Local Expander" (4) and a "Remote

Expander" (5) connected by cable (6). In one embodiment of the invention, units (4) and (5) can be separated by up to 200 meters of Category 5 UTP cable (6).

Figure 3 illustrates an embodiment of the invention designed to operate over UTP. In this embodiment, the functions normally provided by a USB Hub are provided by two separate units connected by a length of Unshielded Twisted Pair (UTP) copper wiring (6a). A Host PC may be connected to the first unit (4), referred to as Local Expander (LEX). The second unit (5) is referred to herein as Remote Expander (REX). The Remote Expander (5) may be connected to a plurality of USB devices. In this embodiment said plurality is chosen to be four, but it will be clear to those skilled in the art that other choices may be made within the scope of the invention.

Operation over extended distances is achieved by placing said LEX unit (4) close to said Host PC, placing said REX unit (5) close to said plurality of USB devices, and connecting LEX unit (4) and REX unit (5) by the required extended length of UTP cabling (6a).

Figure 4 provides a timing diagram showing asynchronous transfers according to the USB protocol. The diagram is constructed from the point of view of a USB Host Controller, normally included on a PC motherboard (Host PC). The USB protocol divides time allocation on the shared bus into regular Frames, each of 1 ms in duration. The start of each frame is identified on the diagram as F1, F2, F3 & F4.

When a Host Controller is engaged upon an asynchronous transfer with a device, the Host Controller issues requests for data transfer to said device on an as needed basis. These requests are identified in Figure 4 as packets R1 & R2 (10 & 12). Under the USB protocol, a USB device must respond to said request within 16 bit-times. The responses are shown in the diagram as packets A1 & A2 (11 & 13). It is commonly expected that transfer A1 (11) will be delivered in response to request R1 (10), transfer A2 (13) will be delivered in response to request R2 (12), and so on until the requests are terminated.

Figure 5 provides a timing diagram showing asynchronous transfers according to the present invention. The diagram shows the progression of packets through the various subsystems comprising the invention. Timelines are

presented for the Host PC (1), Local Expander (4) and Remote Expander (5) subsystems as shown in Figure 2.

An asynchronous transfer is initiated from a Host PC (1) by emitting a request for input data R1 (20) to a particular USB address and end-point. Said request R1 (20) is received by the LEX (4) and retransmitted as R1 (24) over the external cabling to the REX (5). Said retransmitted packet R1 (24) is received by the REX (5) and forwarded as R1 (29) to the target device (i.e. one of items 3a, 3b, 3c or 3d).

The target device generates an input data packet A1 (30). According to the USB protocol, a device without an integrated cable must generate a response within 6.5 bit-times of the end of the corresponding request. Said input data packet A1 (30) is received by the REX subsystem (5) and retransmitted as A1 (25) over the external wiring to the LEX (4). Said retransmitted response A1 (25) is not immediately forwarded to the Host PC (1), but is stored within the memory of the LEX subsystem (4).

The Host PC (1) notices that it did not receive a response to its input data request R1 (20), and retries the transaction by generating a new request R2 (21) to the same USB address and end-point. Upon receiving request R2 (26), the LEX subsystem (4) retrieves response A1 (25) from its memory buffers and forwards it to the Host PC as response A1 (27). Said response (27) is received by the Host as response A1 (22).

Said second request R2 (21) is repeated as R2 (26) through the LEX and forwarded as R2 (31) to the device. The target device generates a second response A2 (32) which is simply absorbed by the REX subsystem (5). When the Host receives said response A1 (22), it generates acknowledgement K1 (23) which it transmits to the LEX subsystem (4). Said acknowledgement K1 (23) is received by the LEX as acknowledgement K1 (28) and forwarded to the REX where it appears as acknowledgement K1 (33). Said acknowledgement K1 (33) is again forwarded to the USB device to complete the protocol sequence.

Figure 6 illustrates one embodiment of a Local Expander (4) according to the invention. Said embodiment comprises four major blocks. The USB Interface (50) enables a Host PC to be connected to the unit using standard USB cabling.

Said USB Interface receives signals in USB format from said Host PC and delivers USB packets to the LEX Controller (51). Said LEX Controller determines what response is necessary to the received packet and generates the appropriate USB packets. If said LEX Controller requires information to be stored prior to transmission, then said information is stored in RAM (53).

Information that must be sent to the Remote Expander (5) is forwarded to the UTP interface (52) wherein the information is converted into digital signals suitable for propagation over copper cabling.

In the reverse direction, digital signals originating from the Remote Expander (5) are received by UTP interface (52) and forwarded to LEX Controller (51). Said LEX Controller saves any necessary information in RAM (53) and generates the required responses. Information that must be sent to the Host PC is transferred to the USB Interface (50), from where it may be forwarded to said Host PC using standard USB hardware and protocols.

Figure 7 illustrates an embodiment of a Remote Expander (5) according to the invention. Said embodiment comprises a UTP Interface (60), a plurality of USB Interfaces (63)-(66), a REX Controller (61) and a RAM (62). Operation of the REX (5) is similar to that of the LEX (4).

Figure 8 provides a sequence diagram showing an asynchronous input transfer according to the invention. The format used in the diagram is attributable to Jacobson et al. (Ivar Jacobson, Magnus Christerson, Patrick Jonsson and Gunnar Overgaard, *Object-Oriented Software Engineering: A Use Case Driven Approach*, Addison-Wesley, 1992.)

In Frame 1, the control logic (130) within the Host PC generates a request for input data, addressed to a particular USB function. Said request is transmitted to the LEX subsystem as an "In Addr" packet. The control logic (131) within the LEX subsystem forwards the In Addr packet to the REX subsystem. The control logic (132) within the REX subsystem forwards the In Addr packet to the Device. The control logic (133) within the device assembles the requested asynchronous data and transmits it as a "Data Payload" packet to the REX subsystem. The control logic (132) within the REX subsystem forwards the Data

Payload packet to the LEX subsystem. The control logic (131) in the LEX subsystem stores the Data Payload packet in its buffer memory.

In Frame 2, the control logic (134) within the Host PC recognizes that it has not received a response to its previous request for input data. Said control logic automatically retries the transaction by generating a further request addressed to the same USB function as in Frame 1. Said further request is transmitted to the LEX subsystem as a second In Addr packet. On receipt of the second In Addr packet, the control logic (135) within the LEX subsystem recognizes that it has a Data Payload packet stored in memory from the same function identified by the further In Addr packet. Said control logic retrieves said stored packet from memory and transmits same to the Host PC. Said control logic (135) also forwards the new In Addr packet to the REX subsystem. The control logic (136) within the REX subsystem forwards the In Addr packet to the Device.

The control logic (137) within the device recognizes that it did not receive an acknowledgement to its previous transmission and assumes that this new request is in fact a second request for the original information. The device thereby assembles the requested asynchronous data and transmits it as a Data Payload packet to the REX subsystem. The control logic (136) within the REX subsystem recognizes that said Data Payload packet is merely a repetition of the previous transmission and absorbs said packet.

When the control logic (134) of the Host receives the requested Data Payload packet from the LEX, said control logic generates an Ack packet to acknowledge a successful transmission. Said Ack packet is received by control logic (135) within the LEX and forwarded to the REX. Said forwarded Ack packet is received by control logic (136) within the REX and further forwarded to the device. The transmission of the Ack packet from the REX to the device is synchronised by control logic (136) to occur within the required response period following the end of transmission of the repeated Data Payload packet from the device.

Figure 9 provides a sequence diagram showing an alternative asynchronous input transfer according to the invention. This method differs from

that described in Figure 8 in the manner in which the first request for an asynchronous input transfer is handled by the LEX.

In Frame 1, the control logic (130) within the Host PC generates a request for input data, addressed to a particular USB function. Said request is transmitted to the LEX subsystem as an In Addr packet. The control logic (131) within the LEX subsystem forwards the In Addr packet to the REX subsystem as described in Figure 8.

At this point in the sequence, an additional step is introduced. Said control logic (131) within the LEX subsystem generates a synthetic negative acknowledgement packet and transmits it as a Nak packet to the Host PC. Said Nak packet warns the Host that it will not receive a response to its request and enables said Host to progress to the next transaction. The remainder of the protocol handling continues as described in Figure 8.

Figure 10 provides a sequence diagram showing an asynchronous output transfer according to the invention.

In Frame 1, the control logic (140) within the Host PC generates a notification of output data, addressed to a particular USB function. Said notification is transmitted to the LEX subsystem as an Out Addr packet. The control logic (141) within the LEX subsystem forwards said Out Addr packet to the REX subsystem. The control logic (142) within the REX subsystem forwards said Out Addr packet to the Device. The information is received by the control logic (143) within the device.

The control logic (140) within the Host PC assembles the notified asynchronous data and transmits same as a Data Payload packet to the LEX subsystem. The control logic (141) within the LEX subsystem forwards the Data Payload packet to the REX subsystem. The control logic (142) in the LEX subsystem further forwards the Data Payload packet to the device. The information is received by the control logic (143) within the device.

Upon successful receipt of said Data Payload packet by the device, the control logic (143) within the device generates an acknowledgement packet which is transmitted as an Ack packet to the REX. Said Ack packet is forwarded

by control logic (142) within the REX to the LEX subsystem where its receipt is recorded by control logic (141).

In Frame 2, the control logic (144) within the Host PC recognizes that it has not received an acknowledgement of its previous transmission and generates a new Out Addr packet addressed to same end point as in Frame 1. The same control logic (144) also generates a new Data Payload packet which it transmits to the LEX. Upon receipt of the Data Payload packet by the LEX, the control logic (145) recognizes that it has already received positive acknowledgement of this transaction and generates an Ack packet which it sends to the Host.

Figure 11 provides a sequence diagram showing an enhanced asynchronous output transfer according to the invention. This method differs from that described in Figure 10 in the manner in which the first request for an asynchronous output transfer is handled by the LEX.

In Frame 1, the control logic (140) within the Host PC generates a notification of output data, addressed to a particular USB function. Said request is transmitted to the LEX subsystem as an Out Addr packet. The control logic (141) within the LEX subsystem forwards the Out Addr packet to the REX subsystem as in Figure 10.

Also in Frame 1, the control logic (140) within the Host PC generates an output data packet, destined for said particular USB function. Said output data packet is transmitted to the LEX subsystem as an Data Payload packet. The control logic (141) within the LEX subsystem forwards the Data Payload packet to the REX subsystem as in Figure 10.

At this point in the sequence, an additional step is introduced. Said control logic (141) within the LEX subsystem generates a synthetic negative acknowledgement packet and transmits it as a Nak packet to the Host PC. Said Nak packet warns the Host that it will not receive a response to its transmission and enables said Host to progress to the next transaction. The remainder of the protocol handling continues as described in Figure 10.

Figure 12 provides an algorithm for implementing asynchronous transfers at the Local Expander (4). In the embodiment of the invention described in

Figure 6, said algorithm is implemented in hardware by LEX Controller (51). (It will be apparent to those skilled in the art that this implementation is not unique and that other mechanisms for implementing said algorithm are possible.) In the following description, the inbound direction refers to packets travelling from a peripheral device and towards a host controller; the outbound direction refers to packets travelling from a host controller and towards a peripheral device.

According to the invention, the algorithm of Figure 12 is required to implement the processing functions represented by processing blocks (131) & (135) of Figure 8, and processing blocks (141) & (145) of Figure 10.

On initial power-up and after a reset, the system enters Idle state (300) where it waits for a message (USB packet) to be received. When a packet is received, the Packet Identifier (PID) field within the packet is examined to determine what type of packet has been received and what action is required to process said packet.

If the received packet (301) is of type IN, the system retransmits (302) said IN packet to the REX unit. The systems then examines its buffer memory (303) to determine whether an inbound DATA packet from the same address as the IN packet is available. If said DATA packet is available, then it is retrieved (304) from buffer memory and transmitted (305) to the Host. The system returns to the Idle state (300).

If the received packet (306) is of type DATA and is travelling in the inbound direction, the system saves (307) said data packet in its buffer memory and returns to the Idle state (300).

If the received packet (308) is of type ACK and is travelling in the outbound direction, the system retransmits (309) said ACK packet and returns to the Idle state (300).

If the received packet (310) is of type OUT, the system tests (311) whether the OUT pending flag for the address is set. If said flag is set, the system resets said flag and returns to the Idle state (300). If said flag is not set, the system sets (312) said flag and retransmits (313) said received OUT packet before returning to the Idle state (300).

If the received packet (315) is of type DATA and is travelling in the outbound direction, the system tests (316) whether the DATA pending flag for the address is set. If said flag is not set, the system sets (323) said flag and retransmits (324) said received DATA packet before returning to the Idle state (300). If said DATA pending flag is set, the system resets (317) said DATA pending flag and tests (318) whether an ACK packet for the address has been stored in buffer memory. If said Ack packet is present in memory, the system retrieves (319) said packet from memory and transmits (320) said packet to the Host. The system returns to the Idle state (300).

If the received packet (321) is of type ACK and is travelling in the inbound direction, the system saves (322) said ACK packet in buffer memory and returns to the Idle state (300).

Figure 13 provides an enhanced algorithm for implementing asynchronous transfers at the Local Expander according to the invention described in Figure 6. This algorithm is identical to that described in Figure 12, other than in the manner in which the LEX informs the Host that a response to its commands is not available. This situation occurs when the Host initiates a data transfer sequence as described in Figures 9 and 11.

Additional step (331) occurs when the system receives an IN packet but does not have the requested data stored in buffer memory. The system transmits (331) a negative acknowledgement packet to the Host before returning to the Idle state (300).

Additional step (332) occurs when the system receives an DATA packet travelling in the outbound direction but the DATA pending flag has not been set. The system transmits (332) a negative acknowledgement packet to the Host before returning to the Idle state (300).

Figure 14 provides an algorithm for implementing asynchronous transfers at the Remote Expander. In the embodiment of the invention described in Figure 7, said algorithm is implemented in hardware by REX Controller (61). (It will be apparent to those skilled in the art that this implementation is not unique and that other mechanisms for implementing said algorithm are possible.) In the following description, the inbound direction refers to packets travelling from a

peripheral device and towards a host controller; the outbound direction refers to packets travelling from a host controller and towards a peripheral device.

According to the invention, the algorithm of Figure 14 is required to implement the processing functions represented by the processing blocks (132) & (136) of Figure 8, and the processing block (142) of Figure 10.

On initial power-up and after a reset, the system enters Idle state (340) where it waits for a message (USB packet) to be received. When a packet is received, the Packet Identifier (PID) field within the packet is examined to determine what type of packet has been received and what action is required to process said packet.

If the received packet (341) is of type IN, the system retransmits (342) said IN packet and returns to the Idle state (340).

If the received packet (343) is of type DATA and is travelling in the inbound direction, the system tests (344) whether the data pending flag for the address has been set. If said flag is set, the system resets (345) said flag and returns to the Idle state (340). If said data pending flag is not set, the system sets (346) said flag and retransmits (347) said received DATA packet to the LEX before returning to the Idle state (340).

If the received packet (348) is of type ACK and is travelling in the outbound direction, the system retransmits (349) said ACK packet and returns to the Idle state (300).

If the received packet (350) is of type OUT, the system retransmits (351) said OUT packet and returns to the Idle state (340).

If the received packet (352) is of type DATA and is travelling in the outbound direction, the system retransmits (353) said DATA packet and returns to the Idle state (340).

If the received packet (354) is of type ACK and is travelling in the inbound direction, the system retransmits (355) said ACK packet and returns to the Idle state (340).

Figure 15 illustrates an embodiment of a REX Controller (61) according to the embodiment of the invention shown in Figure 7. In this embodiment, the control functions of the REX are separated into two distinct components, the

Network Controller (403) and the Link Controller (401). First In First Out (FIFO) buffers (400) & (402) are used to hold individual packets as they are sent to and received from the USB Interface (63).

The separation of functions into discrete controllers (403) and (401) enables the operation of the system to be described more simply. The Network Controller (403) performs the network layer functions as described in Figure 14, while the Link Controller (401) performs the link layer functions associated with transmitting and receiving individual packets.

The following signals are provided between the components of Figure 15. The Network Controller (403) generates signal ISO to indicate that the packet it has placed in TX FIFO (402) is related to an isochronous transmission and an acknowledgement is not expected.

TX FIFO (402) generates signal TXRDY to indicate that it contains a complete packet ready to be sent. TX FIFO (402) also generates signal TXOVR to indicate that it has completed sending a packet to USB Interface (63). RX FIFO (400) generates signal SOP to indicate that it has started to receive a packet from USB Interface (63). RX FIFO (400) also generates signal EOP to indicate that it has completed receiving a packet from USB Interface (63). The Link Controller (401) generates signal TXENB to inform TX FIFO (402) that TX FIFO is cleared to send its information to USB Interface (63).

Figure 16 provides a sequence diagram showing a link layer packet output. In this drawing, control logic (410) is implemented by Network Controller (403), control logic (411) is implemented by Link Controller (401, control logic (412) is implemented by TX FIFO (402) and control logic (413) is implemented by USB Interface (63).

A link layer output sequence is started when the Network Controller (403) copies a data packet to the TX FIFO (402) and raises the WRITE signal. Said Network Controller (403) also informs the Link Controller (401) by means of signal ISO whether said packet carries isochronous traffic. Once said data packet has been completely received by TX FIFO (402), said TX FIFO raises signal TXRDY to inform Link Controller (401) that it is now ready to output a data packet to USB Interface (63).

Once the Link Controller (401) determines that there are no conflicts for access to the USB Interface (63), said Link Controller (401) raises signal TXENB to indicate to TX FIFO (402) that it may now begin transmitting said data packet to said USB Interface (63). TX FIFO (402) then transmits said data packet to said USB Interface (63) one byte at a time by means of signal(s) TXBYTE.

When all of the bytes within said data packet have been transmitted, TX FIFO (402) raises signal TXOVR to indicate to Link Controller (401) that the transmission is complete and other tasks related to USB Interface (63) can be scheduled. Link Controller (401) completes the handshake by lowering signal TXENB to prevent a further data packet from being transmitted without intervention by said Link controller.

Figure 17 provides a sequence diagram showing a link layer packet input. In this drawing, control logic (420) is implemented by Network Controller (403), control logic (421) is implemented by Link Controller (401), control logic (422) is implemented by RX FIFO (400) and control logic (423) is implemented by USB Interface (63).

A link layer input sequence is started when RX FIFO (400) receives, by means of signal RXBYTE, the first byte of a new data packet from USB Interface (63). Thereupon, said RX FIFO indicates to Link Controller (401) by means of signal SOP that the start of a new packet has been detected. The remainder of the data packet is then received by RX FIFO (400) by means of additional RXBYTE signals.

Once the complete data packet has been received by RX FIFO (400), Link Controller (401) is notified by means of End Of Packet signal EOP. RX FIFO (400) then transfers the complete packet to Network Controller (403) by means of signal READ.

Figure 18 provides an algorithm for implementing link control functions according to the invention of Figure 15. In the embodiment of Figure 15, the Link Controller functions of Figure 18 are implemented by a discrete Link Controller block (401).

On initial power-up and after a reset, the system enters Idle state (470) where it waits for a signal to be received.

If the received signal (471) is TXRDY, the system checks (472) whether the guard flag has been set. If said guard is set, the system returns to the Idle state (470). If said guard flag is not set, the system sets (473) said flag to indicate that the system is not ready to transmit another packet. The system then checks (474) the ISO signal to determine whether the packet to be sent represents an isochronous transfer. If said ISO signal is true, the guard timer is set (475) to 2 bit-times. If said ISO signal is false, the guard timer is set (476) to 16 bit-times. The system then sets (477) signal TXENB to indicate that transmission of the packet to the USB Interface (63) can commence and moves to the Transmit state (478).

When the complete packet has been transmitted to the USB Interface, the system receives (479) signal TXOVR, resets (480) signal TXENB to prevent premature transmission of another packet, starts (481) the guard timer with its previously set value, and returns to the Idle state (470).

If the received signal (482) is Timer Expired, the system resets (483) the guard flag to indicate that another transmission can occur. The system returns to the idle state (470).

If the received signal (484) is SOP, the system enters the Receive state (485) where it remains until it receives the EOP signal (486) indicating that a complete packet is now available in RX FIFO (400). The system returns to the Idle state (470).

Having described specific embodiments of the present invention, it will be understood that modifications thereof may be suggested to those skilled in the art, and it is intended to cover all such modifications as fall within the scope of

the appended claims. Additionally, for clarity and unless otherwise stated, the word "comprise" and variations of the word such as "comprising" and "comprises", when used in the description and claims of the present specification, is not intended to exclude other additives, components, integers or steps.

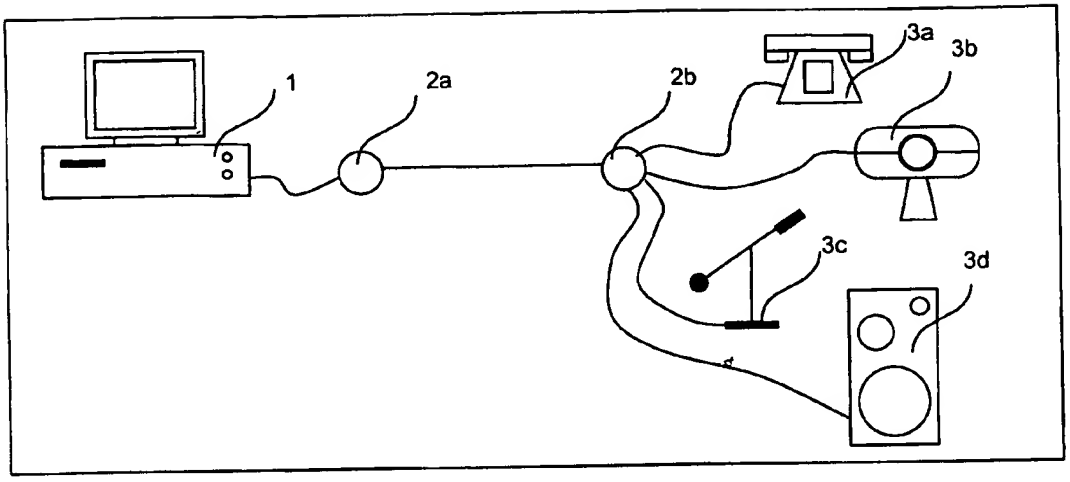


Figure 1

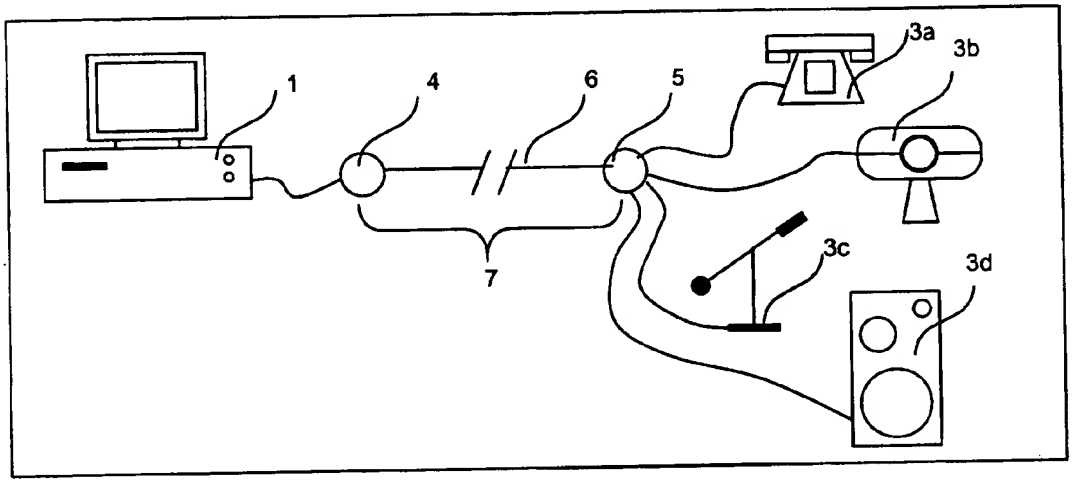


Figure 2

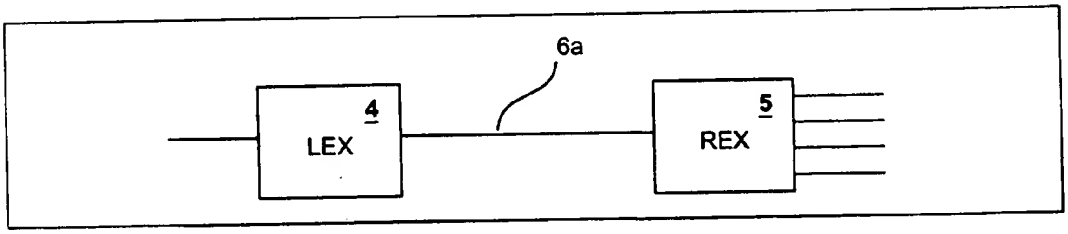


Figure 3

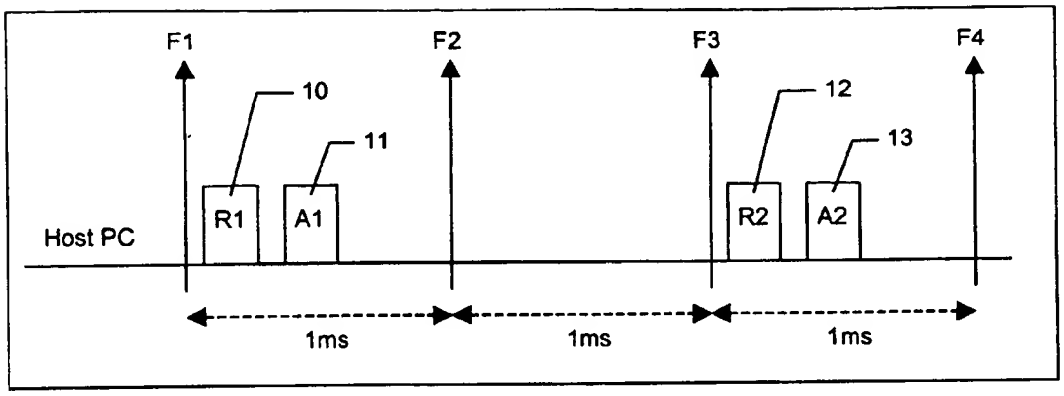


Figure 4

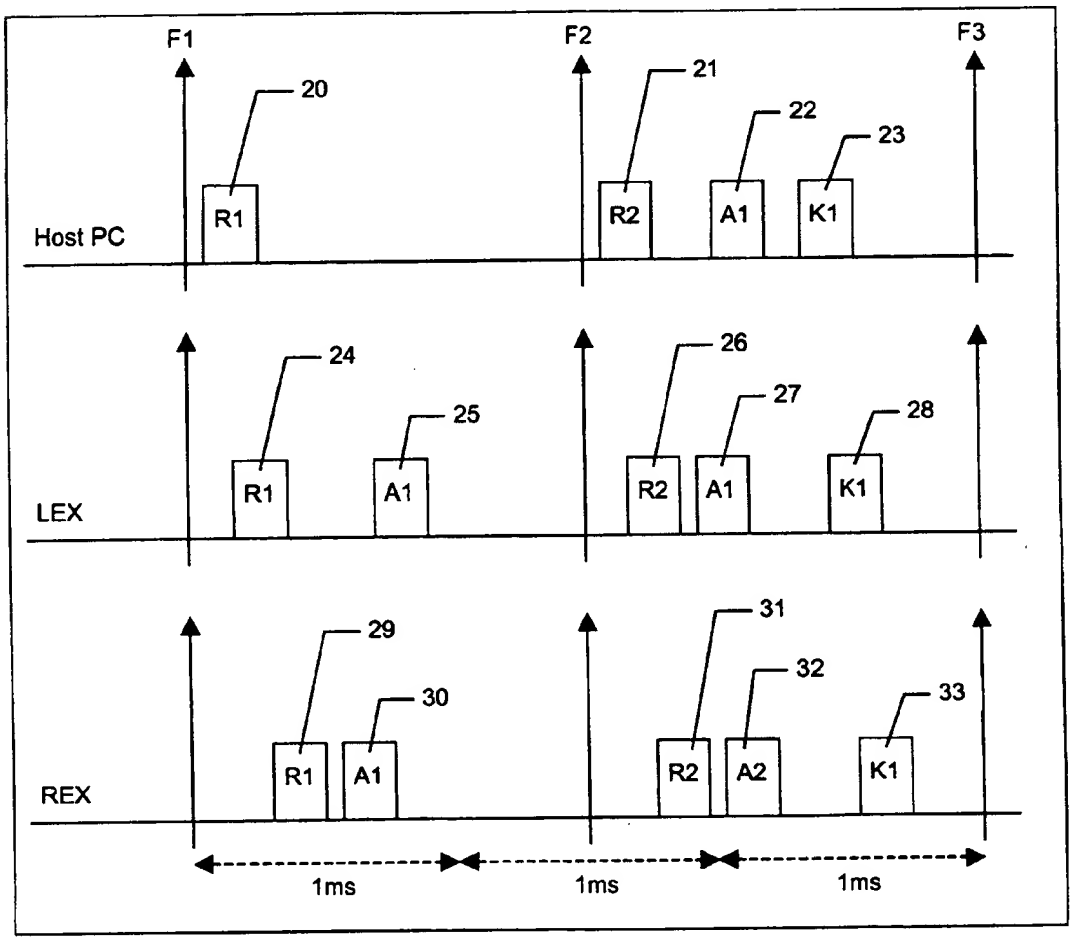


Figure 5

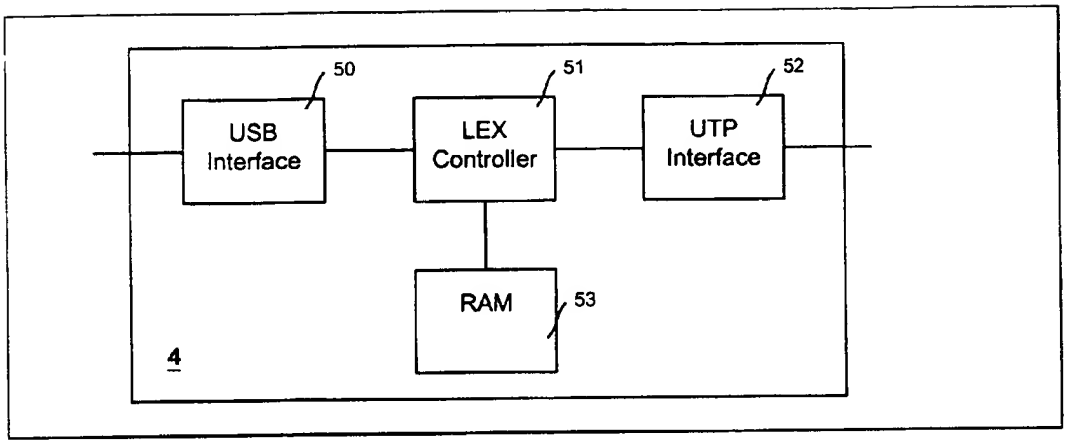


Figure 6

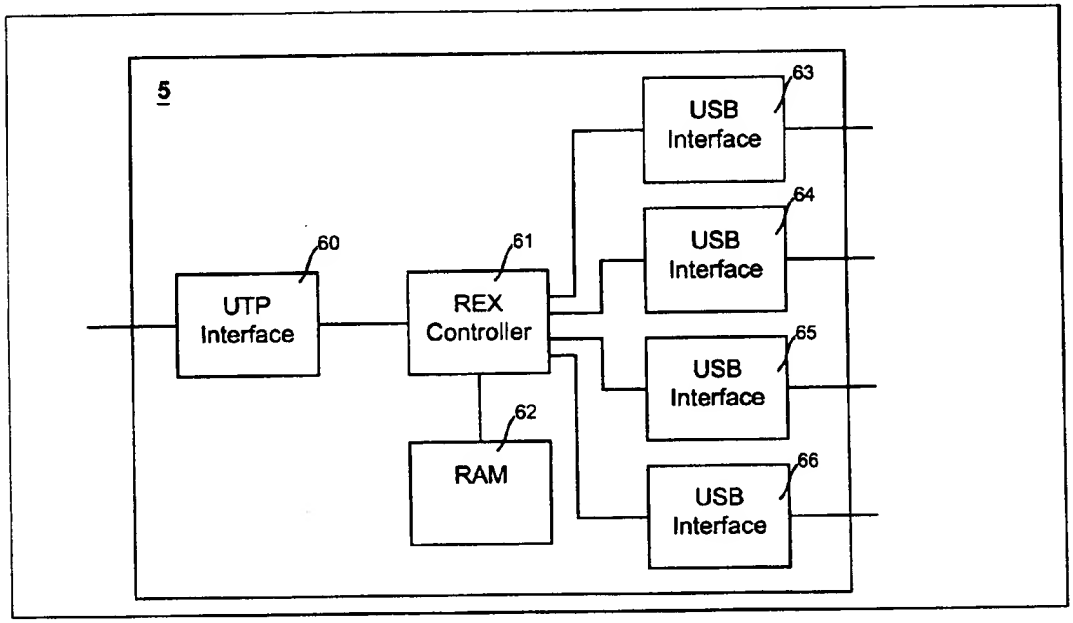


Figure 7

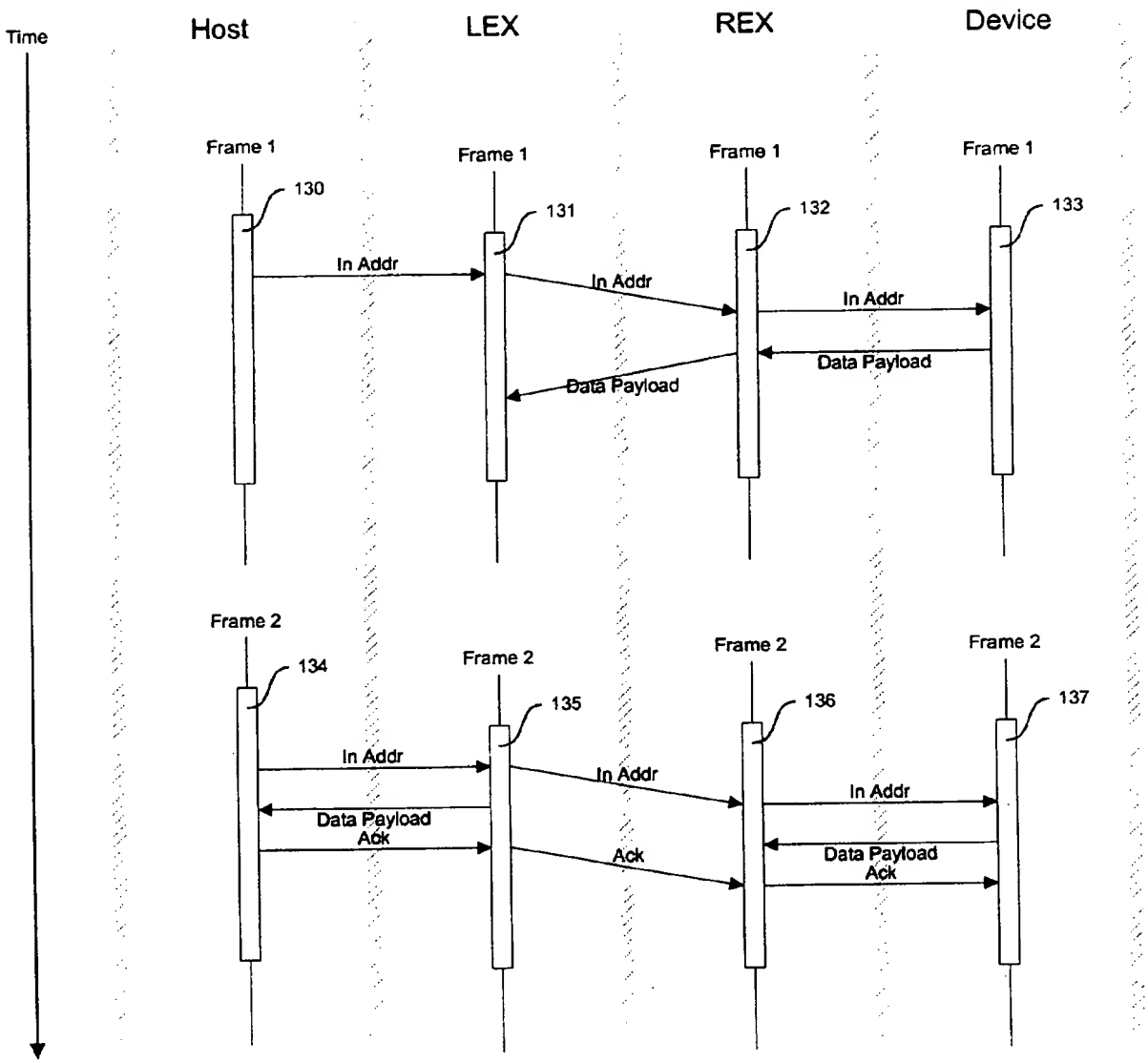

Time
Host
LEX
REX
Device
Frame 1
130
Frame 1
131
Frame 1
132
Frame 1
133
In Addr
In Addr
In Addr
Data Payload
Data Payload
Frame 2
134
Frame 2
135
Frame 2
136
Frame 2
137
In Addr
In Addr
In Addr
Data Payload
Ack
Ack
Data Payload
Ack

Figure 8

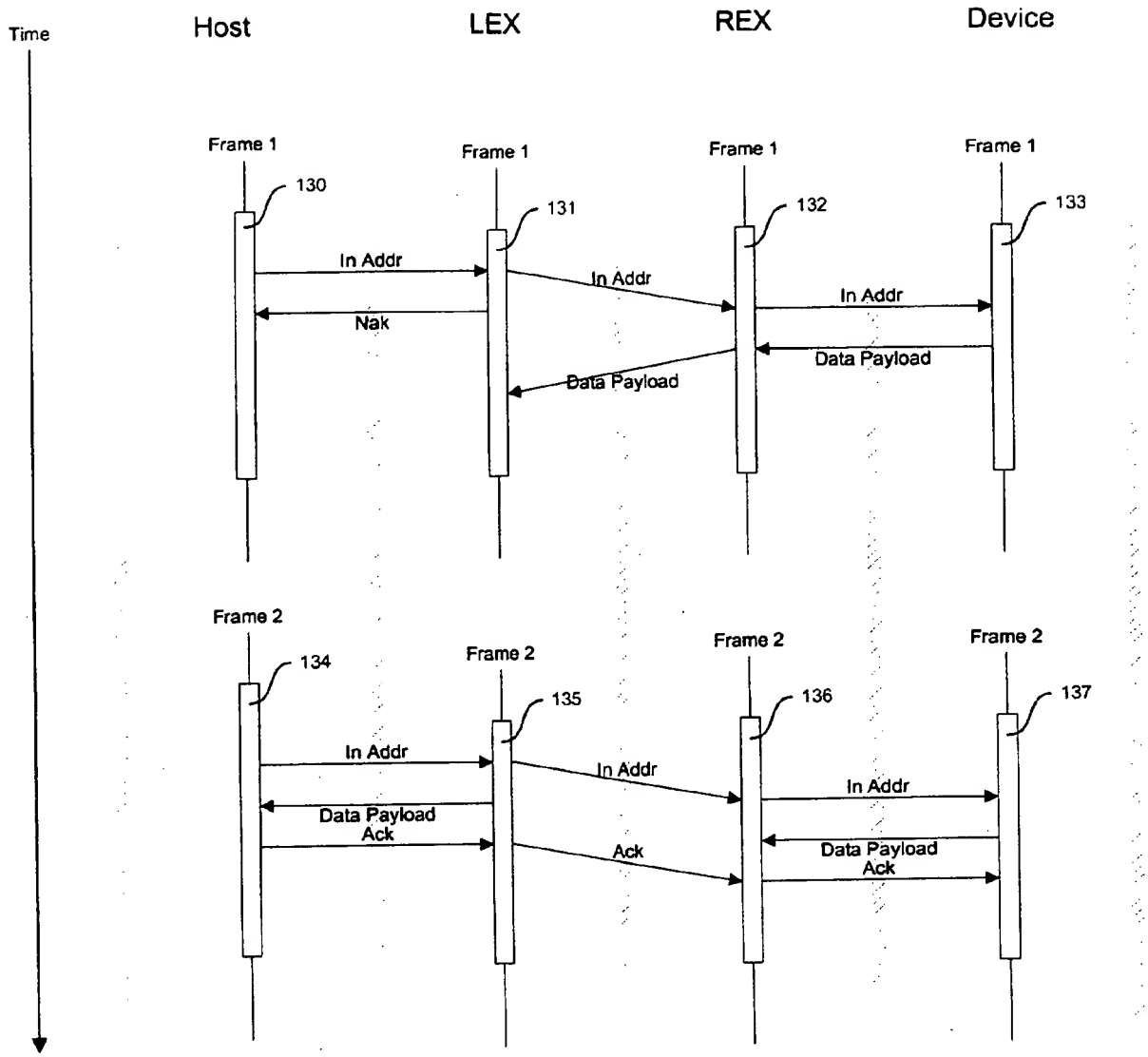


Figure 9

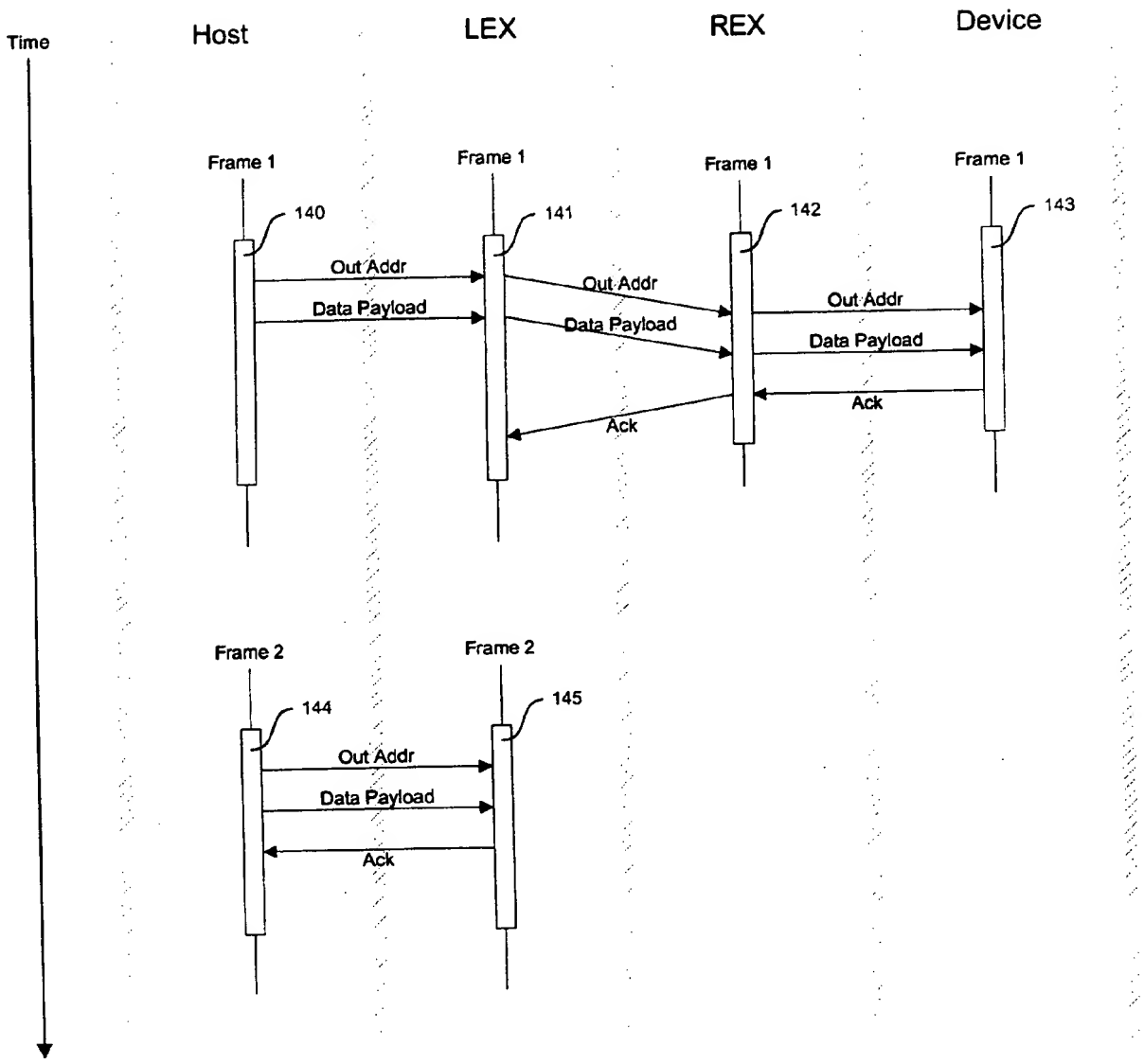


Figure 10

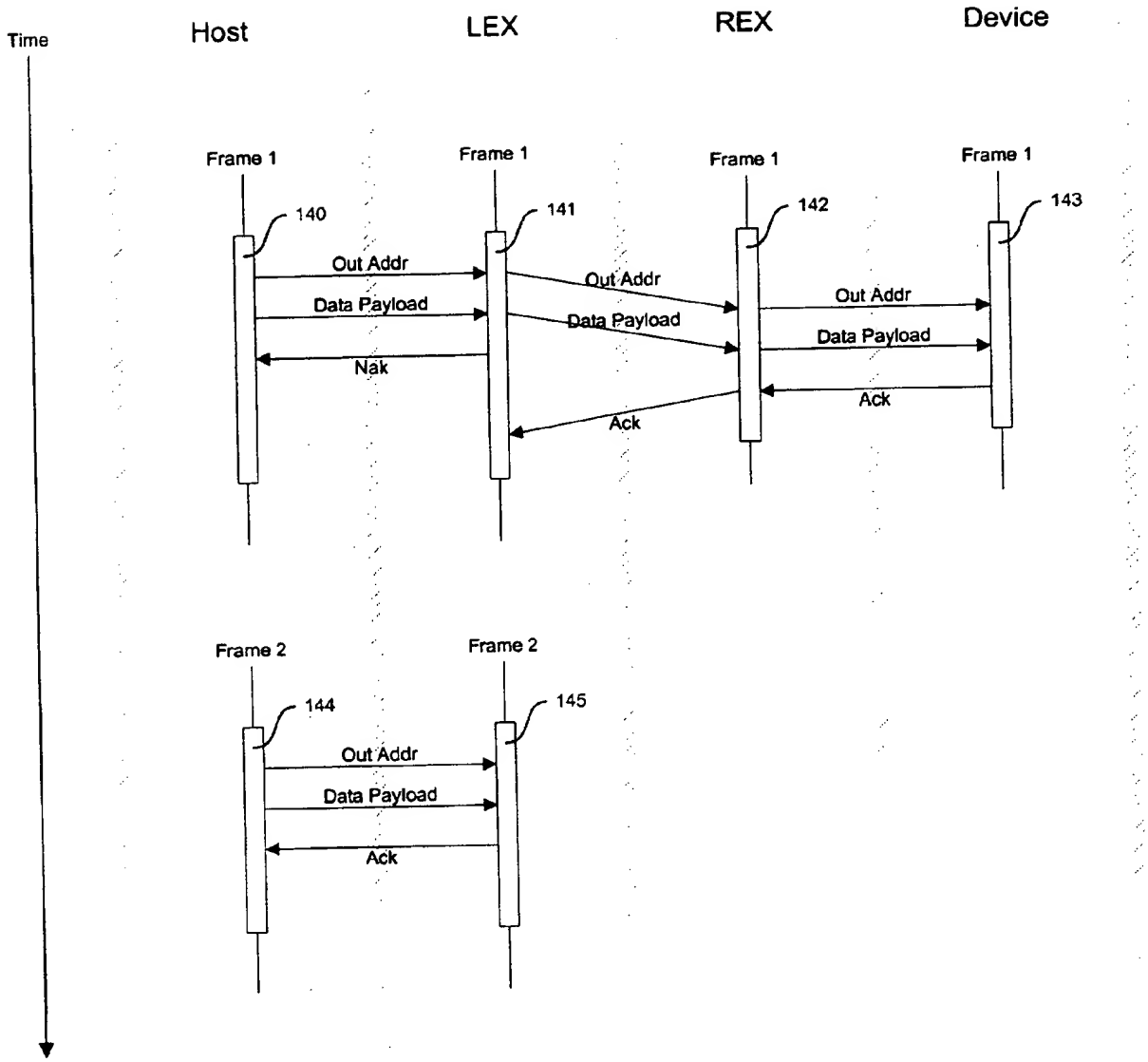


Figure 11

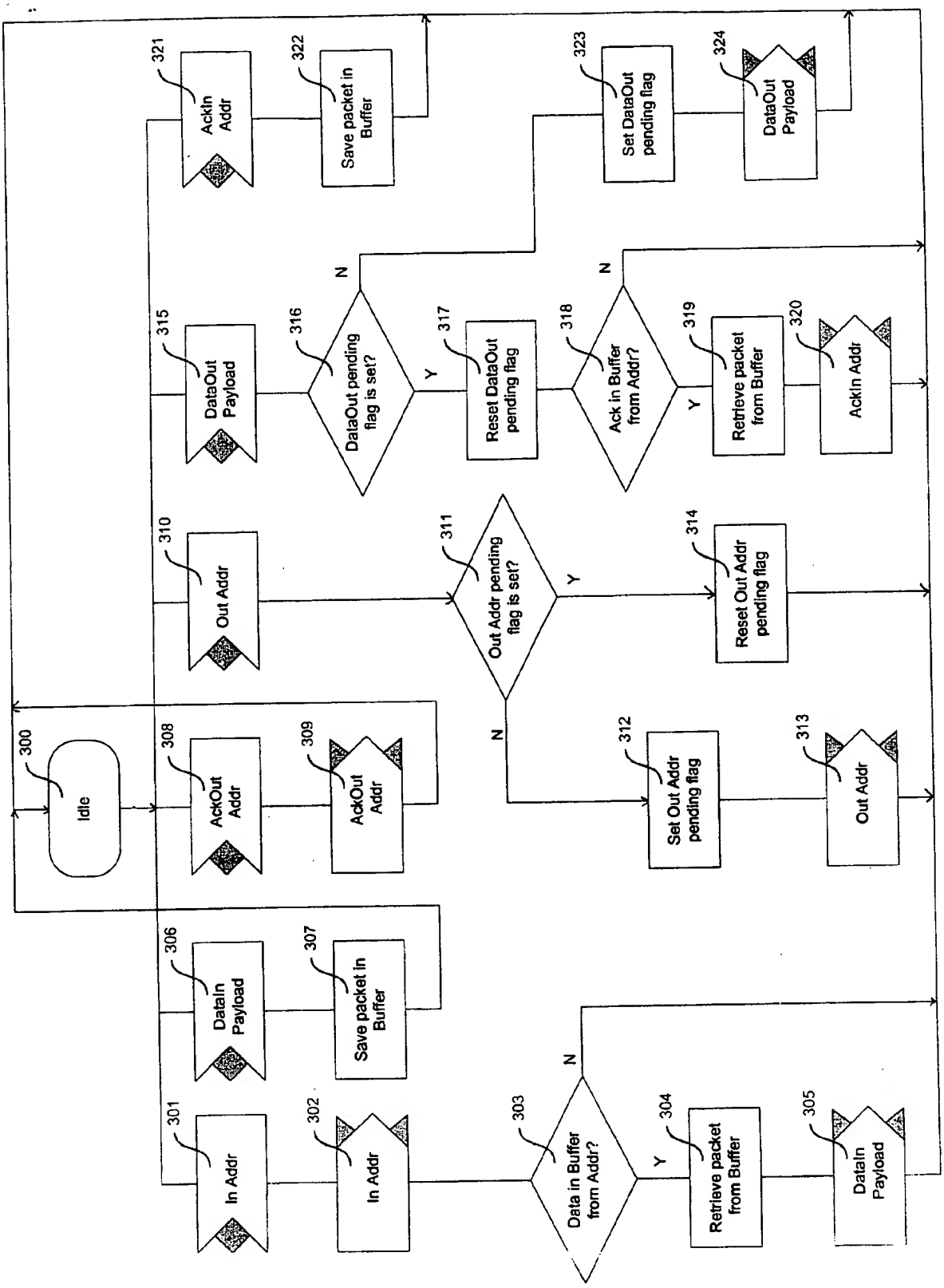
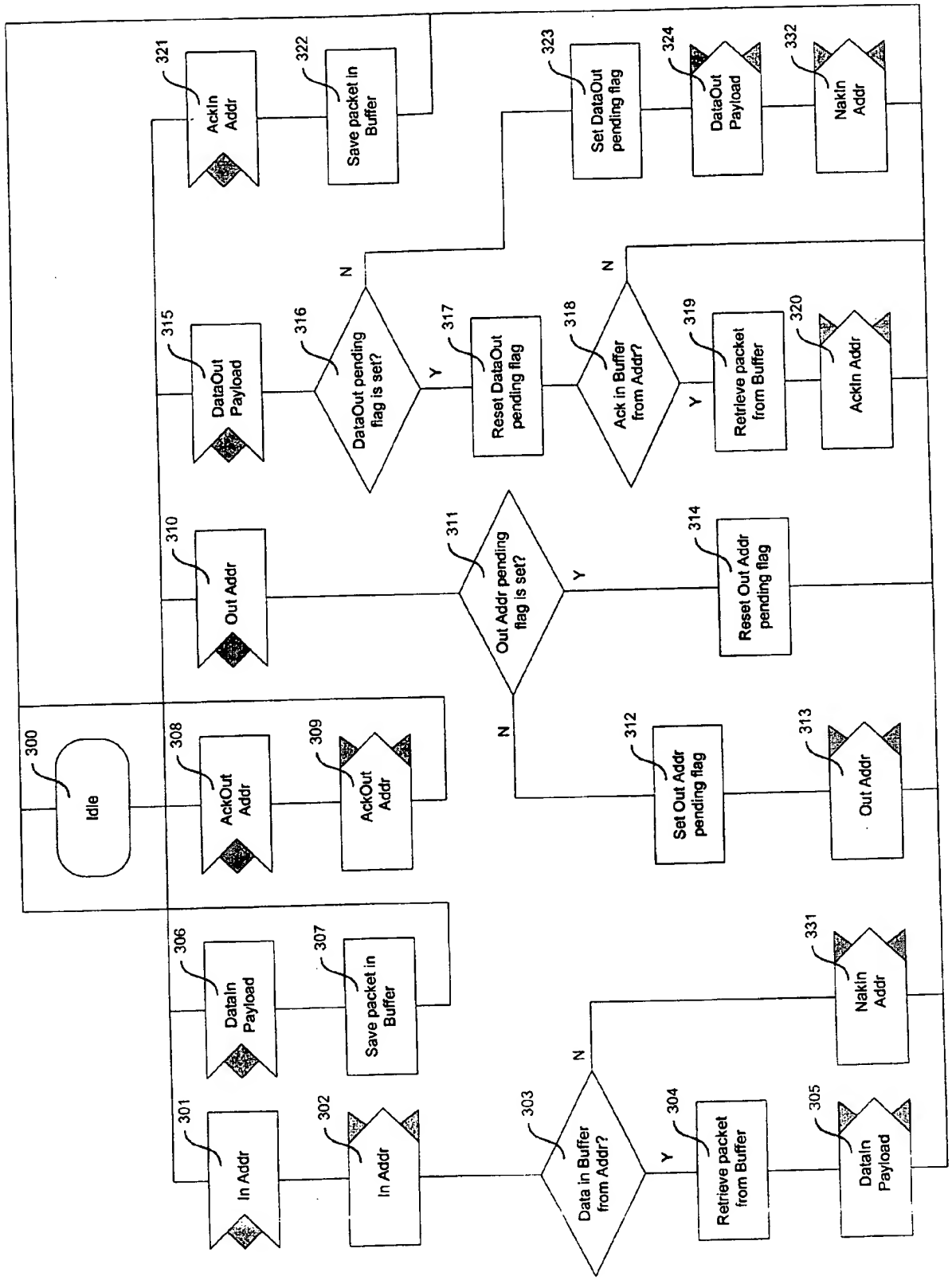

300
Idle
301
In Addr
302
In Addr
303
Data in Buffer from Addr?
304
Retrieve packet from Buffer
305
DataIn Payload
306
DataIn Payload
307
Save packet in Buffer
308
AckOut Addr
309
AckOut Addr
310
Out Addr
311
Out Addr pending flag is set?
312
Set Out Addr pending flag
313
Out Addr
314
Reset Out Addr pending flag
315
DataOut Payload
316
DataOut pending flag is set?
317
Reset DataOut pending flag
318
Ack in Buffer from Addr?
319
Retrieve packet from Buffer
320
AckIn Addr
321
AckIn Addr
322
Save packet in Buffer
323
Set DataOut pending flag
324
DataOut Payload
Y
N

Figure 12


300
Idle
301
In Addr
302
In Addr
303
Data in Buffer from Addr?
304
Retrieve packet from Buffer
305
DataIn Payload
306
DataIn Payload
307
Save packet in Buffer
308
AckOut Addr
309
AckOut Addr
310
Out Addr
311
Out Addr pending flag is set?
312
Set Out Addr pending flag
313
Out Addr
314
Reset Out Addr pending flag
315
DataOut Payload
316
DataOut pending flag is set?
317
Reset DataOut pending flag
318
Ack in Buffer from Addr?
319
Retrieve packet from Buffer
320
AckIn Addr
321
AckIn Addr
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Save packet in Buffer
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Set DataOut pending flag
324
DataOut Payload
331
NakIn Addr
332
NakIn Addr
Y
N

Figure 13

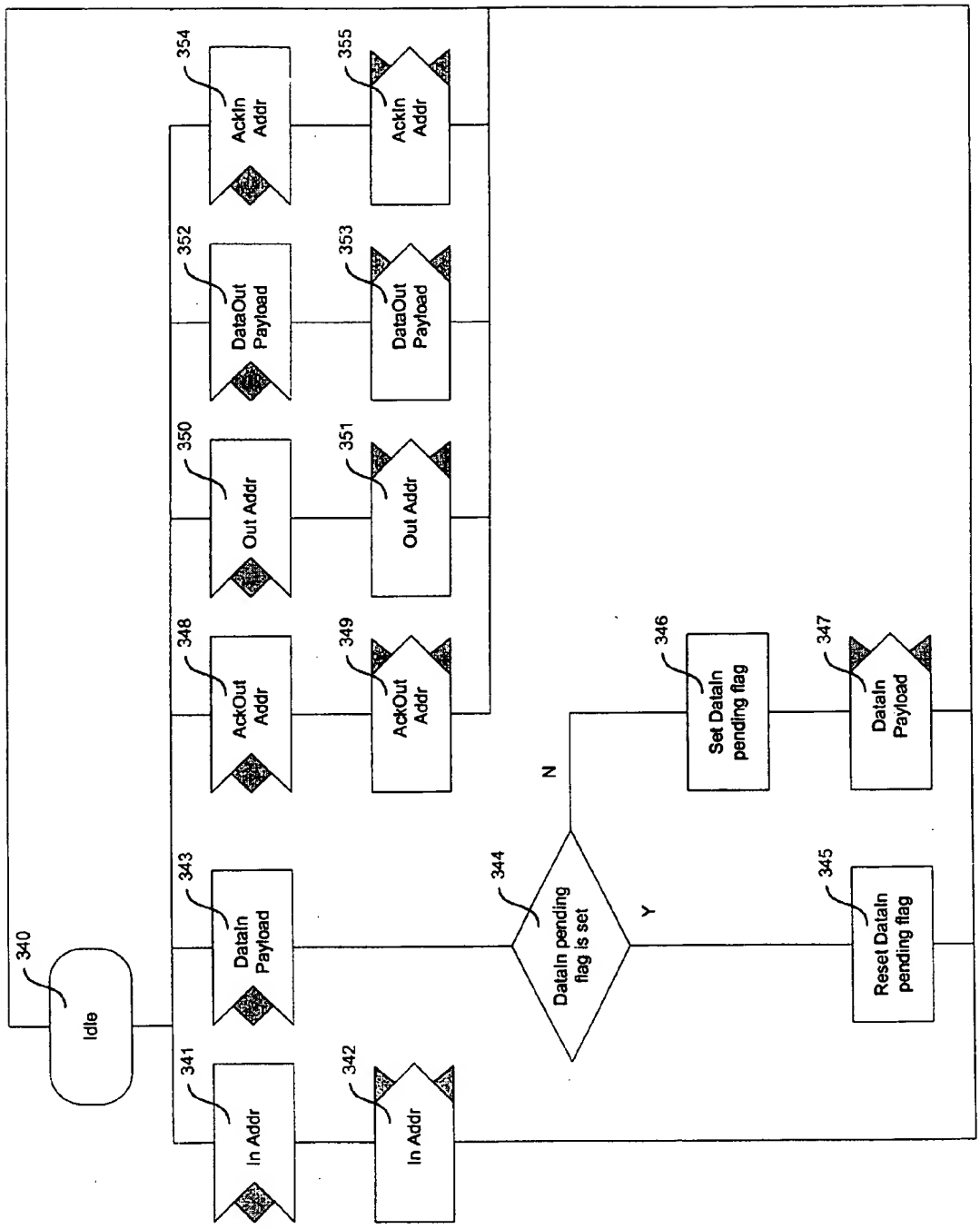
340
Idle
341
In Addr
342
In Addr
343
DataIn Payload
344
DataIn pending flag is set
Y
N
345
Reset DataIn pending flag
346
Set DataIn pending flag
347
DataIn Payload
348
AckOut Addr
349
AckOut Addr
350
Out Addr
351
Out Addr
352
DataOut Payload
353
DataOut Payload
354
AckIn Addr
355
AckIn Addr

Figure 14

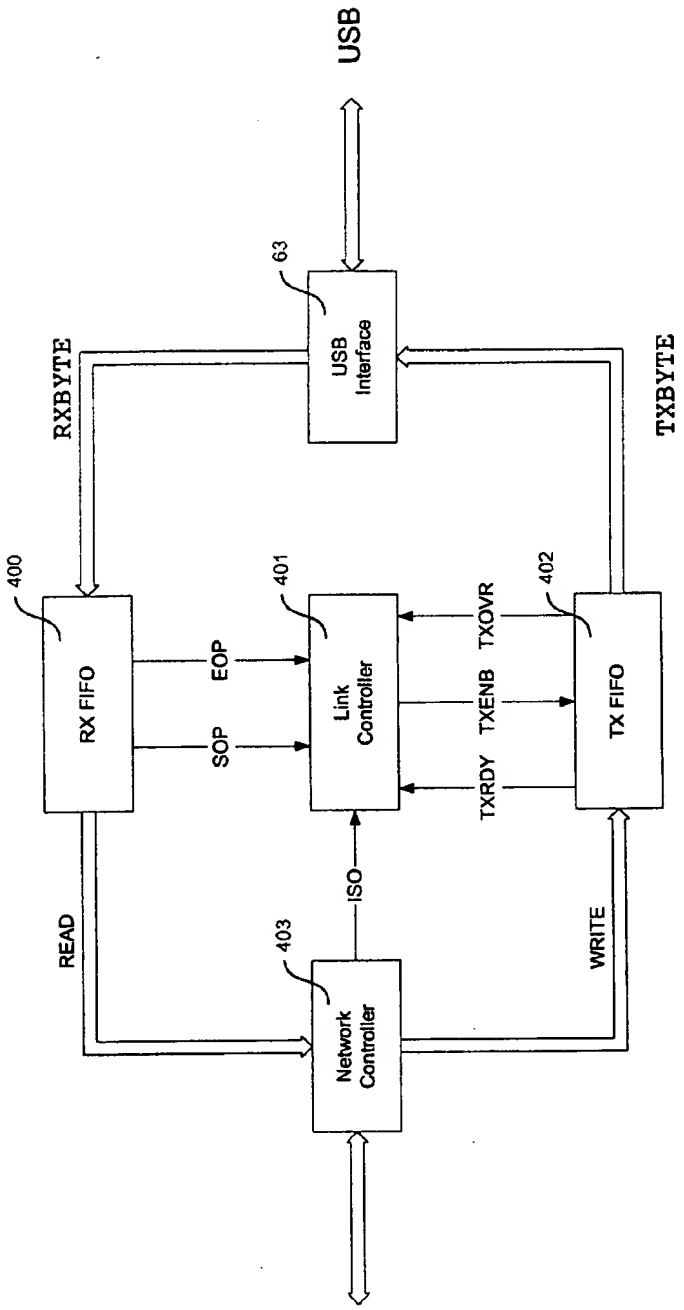
USB
63
USB Interface
RXBYTE
TXBYTE
400
401
402
RX FIFO
EOP
SOP
Link Controller
TXOVR
TXENB
TXRDY
TX FIFO
ISO
READ
WRITE
403
Network Controller

Figure 15

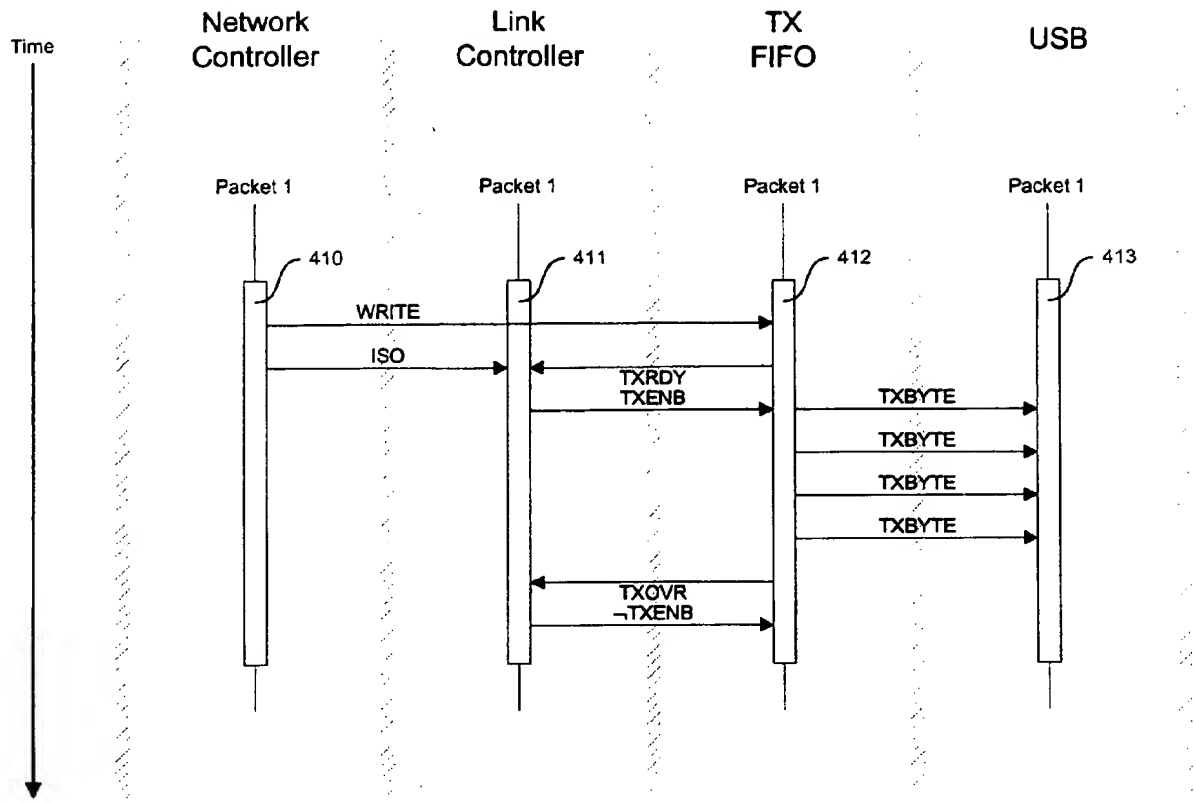

Figure 16

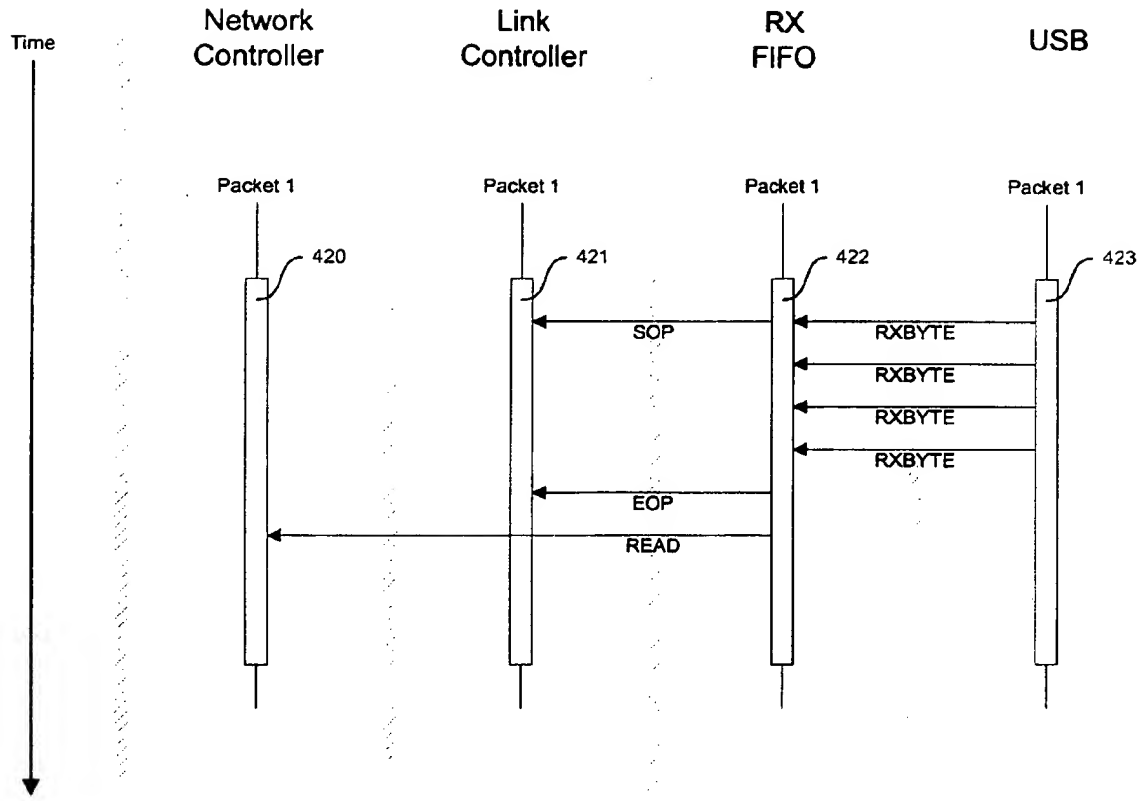

Figure 17

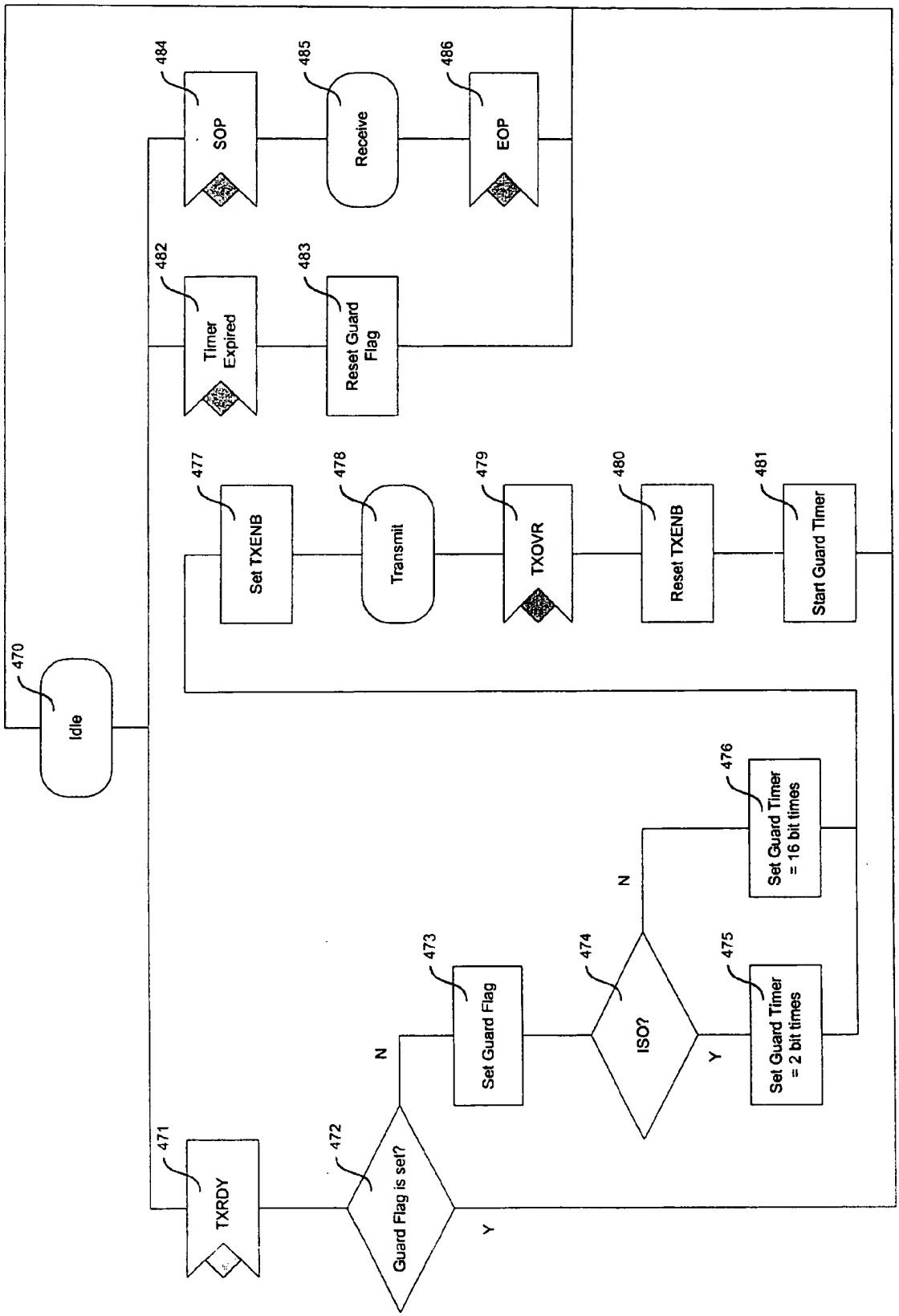
470
Idle
471
TXRDY
472
Guard Flag is set?
N
Y
473
Set Guard Flag
474
ISO?
N
Y
475
Set Guard Timer = 2 bit times
476
Set Guard Timer = 16 bit times
477
Set TXENB
478
Transmit
479
TXOVR
480
Reset TXENB
481
Start Guard Timer
482
Timer Expired
483
Reset Guard Flag
484
SOP
485
Receive
486
EOP

Figure 18

ABSTRACT

The present invention provides a method and apparatus to be used to extend the range of a standard USB devices when utilizing asynchronous data streams. An extended range hub is provided which comprises a Local Expander (LEX) and a Remote Expander (REX) which can be separated by up to, for example 100 meters. The method and apparatus permits USB devices to be more conveniently located and used.